



**THE COMPLIANCE &
ETHICS ACADEMY**

A Legasis Initiative

In association with



**Bombay Chamber
of Commerce & Industry**

CERTIFIED COMPLIANCE AND ETHICS PROFESSIONAL COURSE

**A 5-day
extensive Certified
Professional Course
on Compliance and
Ethics**



2022

05th to 09th Dec



4pm to 7pm

“ I have really enjoyed the training program and it has kept me on edge of my seat for three consecutive days. Each day was filled with interactive, well-informed and innovative methodologies. I find it a valuable learning experience. Thank you for an awesome workshop! ”

Darpan Gupta – Senior Legal Advisor, Legal & Compliance, Fujitsu Consulting India.

The Compliance and Ethics Academy, A Legasis Initiative is proud to present the Fourteenth Edition of the “Certified Compliance and Ethics Professional Course”. Our course offerings have specifically been designed to help professionals in adhering to the best compliance and ethics practices by strengthening their value base even in adverse circumstances that are constantly hitting the business industry. Objectives of this course are:

- Benchmarking compliance and ethics practices in line with the latest trends.
- Imparting and enhancing the requisite skills to manage a compliance and ethics program.
- Addressing the knowledge and skill gap in ethics and compliance program.
- Unearthing the reasons behind the board-room battles.
- Sensitising the need of ethical practice on economic, financial, managerial and environmental aspects of business.
- Integrating Governance Risk Audit Compliance Ethics [GRACE] in today’s challenging business climate and in a forward-thinking manner.

WHO SHOULD ATTEND?

- Compliance & Risk Professionals.
- Company Secretaries.
- Individuals who seek an in-depth understanding of organisational ethics and compliance implementation.

A PREVIEW OF THE COURSE CURRICULUM:

- Fundamentals of Compliance and Ethics.
- Essentials of a Corporate Compliance Program.
- GDPR, Data Privacy & Cyber Security.
- Anti-bribery & Anti-corruption with special focus on the applicability of the US- Foreign Corrupt Practices Act & UK- Bribery Act to Indian Businesses.

- Corporate Frauds.
- Corporate Governance.

IMPORTANCE OF THE CERTIFICATION:

- For Organisation

This Course provides training and real time solutions to the relevant compliance professionals on how to drive an effective compliance and ethics program. It showcases the organisations’ commitment to compliance and ethics thereby making it a part of its standard operating procedure. Integrating GRACE capabilities and ensuring that the correct people get the right information at the proper time and right actions are put in place to address vulnerability and act with integrity.

- For Individual

It addresses the knowledge and skill gap in the understanding of compliance and ethics and goes beyond checklist compliance. Understanding concepts in a mature way to enhance the reliability of achieving organizational objectives. Increases employability, given that concepts like board evaluation, corporate governance and ethical practice are gaining more importance.

SPECIAL OFFERING:

- Experienced professionals and seasoned faculty from relevant domains will guide you through the ambiguities of compliance and organisational ethics and everything you should know about it.
- EthicsIndia extends to you our Certified Ethics Professional Online Course which covers: Code of Conduct, POSH, Ethical Leadership and many more concepts.
- Facilitates interactive sessions and exchange of ideas between Compliance Professionals.

“ Leadership and learning are indispensable to each other ”

JOHN F KENNEDY

BRIEF OVERVIEW

DAY 1

- Introduction to Compliance and Ethics
- Imbibing a Compliance Culture

DAY 2

- Third Party Risk Management
- Anti-Bribery & Anti-Corruption

DAY 3

- Fraud Risk Mitigation, Investigation and Management
- Organizational Ethics

DAY 4

- Data Privacy, Cyber Security & General Data Protection Regulation (GDPR)
- Competition and Anti-Trust Laws in India
- Corporate Governance

DAY 5

- Environmental, Social and Governance Practices
- Compliance & Ethics Charter

DAY 6

- Online Exam

OUR FACULTY



**MR. SUHAS
TULJAPURKAR**
Founder Director
Legasis Pvt. Ltd.
Managing Partner
Legasis Partners



**MR. RAMESH
SHARMA IPS (Retd.)**
Former DGP
Executive Director
Legasis Pvt. Ltd.



DR. ANITA SHANTARAM
President
The Compliance &
Ethics Academy
Founder- EthicsIndia



MR. RAJESH BAGGA
Founder - Yuhina Law
Advocates & Consultants



MS. SHANU SAXENA
Chief Compliance Officer
APAC - GSK Healthcare



MR. DINESH PILLAI
Managing Director
Digital Forensics Pvt. Ltd
Past CEO,
Mahindra Special Services Group



MR. UPENDRA PARKHI
Head-ESG Department
Legasis Pvt. Ltd.

*The schedule and line-up of speakers maybe subject to change.

“ This is one of the most Challenging and Insightful course, though coming from Non Legal background I enjoyed the course and never felt it being TOO Technical. Faculty is just amazing and the quality of course PPTs make it a life-long learning. I am sure this will help me to further AIM for the higher standards of Learning, Governance and Ethics in My personal and professional Life. ”

Gaurav Gupta – CFO, Tasty Bite Eatables Ltd.